

## Brian A. Stern

Brian Stern has been with the firm since 2004, and is currently Senior Company Counsel in the Wealth Management Group (WMG) of Wells Fargo & Company. After graduating from New York University School of Law in 1993, Brian clerked for the Honorable John M. Walker, Jr., on the United States Court of Appeals for the Second Circuit. From 1994 to 1997, he was an associate with the law firm Debevoise & Plimpton in Washington, D.C., where his practice focused on securities class action and corporate derivative litigation. From 1997 to 1999, Brian was a Senior Counsel in the Division of Enforcement at the U.S. Securities & Exchange Commission (SEC). From 1999 to 2002, Brian was Associate General Counsel – Corporate and Compliance with American General Financial Group in Houston, Texas, where he was responsible for the company's corporate, securities and broker/dealer compliance programs. From March 2002 until joining Wells Fargo in July 2004, Brian served as counsel to former SEC Commissioner Cynthia A. Glassman.

---

Investment and Insurance Products:  
▶ NOT FDIC Insured ▶ NO Bank Guarantee ▶ MAY Lose Value